

# Solution of the Wigner-Poisson Equations for RTDs

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# Outline

- Wigner-Poisson Equation
  - Cost of evaluation.
  - Parameter tracking.
- Parallel Computation
  - SANDIA nonlinear solver tools: NOX and LOCA
  - TRILINOS infrastructure
- Future work
- Conclusions

# Wigner-Poisson Equation for $f(t, x, k)$

$$\frac{\partial f}{\partial t} = -\frac{\hbar k}{2\pi m^*} \frac{\partial f}{\partial x} - V(f) + \left. \frac{\partial f}{\partial t} \right|_{coll},$$

where

$$\left. \frac{\partial f}{\partial t} \right|_{coll} = \frac{1}{\tau} \left[ \frac{f_0(x, k)}{\int dk f_0(x, k)} \int dk f(x, k) - f(x, k) \right].$$

$\hbar$ : Planck's constant;  $m^*$ : effective mass of electron

$\tau$ : relaxation time;  $f_0$ : equilibrium distribution

# Potential Energy Term

$$V(f)(x, k) = \frac{1}{h} \int dk' f(x, k') \int dy [U(x+y) - U(x-y)] \sin[2y(k - k')].$$

where

$$U(x) = u(x) + \Delta_c(x)$$

$$u_{xx}(x) = \frac{q^2}{\epsilon} [N_d(x) - n(x)], u(0) = 0, u(L) = -V_{bias}$$

$$n(x) = \int_{-\infty}^{\infty} \frac{dk}{2\pi} f(x, k)$$

$\Delta_c$ : potential barriers;  $N_d$ : doping profile

$q$ : electron charge;  $\epsilon$ : dielectric permittivity

# Computational Costs

Discretize with  $n_x$  points in  $x$  and  $n_k$  in  $k$ . Costs:

- $n(x) = \int_{-\infty}^{\infty} \frac{dk}{2\pi} f(x, k)k: O(n_x n_k)$ .
- $\frac{d^2}{dx^2} u(x) = \frac{q^2}{\epsilon} [N_d(x) - n(x)]: O(n_x)$ .
- $\frac{\partial f}{\partial t} \Big|_{coll} = \frac{1}{\tau} \left[ \frac{f_0(x, k)}{\int dk f_0(x, k)} \int dk f(x, k) - f(x, k) \right]: O(n_x n_k)$ .

$$V(f) = \frac{1}{h} \int dk' f(x, k') \int dy [U(x + y) - U(x - y)] \sin[2y(k - k')].$$

direct evaluation:  $O(n_x^2 n_k^2)$ .

Use FFT and be clever to get  $O(n_x n_k (\log n_x + \log n_k))$ .

# Parameter-dependence Study

Solution dynamics depend on boundary conditions for

$$\frac{d^2}{dx^2}u(x) = \frac{q^2}{\epsilon}[N_d(x) - n(x)]$$

which are

$$u(0) = 0; u(L) = -V_{bias}.$$

Objectives:

- Explain prior numerical observations of hysteresis.
- Find values of  $V_{bias}$  for which  $f$  is periodic in time.

# Path Following

$F : X \times [a, b]$ ,  $F$  smooth,  $X$  a Banach space.

Objectives:

- Solve  $F(u, \lambda) = 0$  for  $\lambda \in [a, b]$
- Understand  $u_t = F(u, \lambda)$  by looking at spectrum of  $F_u$

Obvious approach:

Set  $\lambda = a$ , solve  $F(u, \lambda) = 0$  with  
Newton-(MG, GMRES, ...) to obtain  $u_0 = u(\lambda)$ .

**while**  $\lambda < b$  **do**

    Set  $\lambda = \lambda + d\lambda$ .

    Solve  $F(u, \lambda) = 0$  with  $u_0$  as the initial iterate.

$u_0 \leftarrow u(\lambda)$

**end while**

# What's the problem?

- Multiple solutions, hysteresis
- Changes in dynamic stability
- No solutions

A fix: Pseudo-arclength continuation.

Set  $x = (u, \lambda)$  and solve  $G(x, s) = 0$ , where, for example

$$G(x, s) = \begin{pmatrix} F \\ N \end{pmatrix} = \begin{pmatrix} F(u(s), \lambda(s)) \\ \dot{u}^T(u - u_0) + \dot{\lambda}^T(\lambda - \lambda_0) - (s - s_0) \end{pmatrix}.$$

$s$  is an artificial “arclength” parameter.

$u_0$  and  $\lambda_0$  are from the previous step.

$\dot{u} \approx du/ds$  and  $\dot{\lambda} \approx d\lambda/ds$ .



# Simple Folds

We follow solution paths  $\{x(s)\}$  to better understand

$$u_t = F(u, \lambda).$$

Assume that  $F$  is smooth and

- $G_x$  is nonsingular (not always true) So implicit function theorem holds in  $s$ .

We are assuming that there is no true bifurcation and that any singularity in  $\lambda$  is at worst a **simple fold**.

- $\dim \text{Ker}(F_u) = 1$
- $F_\lambda \notin \text{Ran}(F_u)$

# Hopf Bifurcations

We also look for Hopf Bifurcation,

- A complex conjugate pair of eigenvalues of  $F_u$  cross the imaginary axis from the left.
- Leads to periodic dynamics for  $u_t = F(u, \lambda)$ .

# Arclength Continuation Algorithm

Set  $\lambda = a$ ,  $s = 0$  solve  $F(u, \lambda) = 0$  with  
Newton-(MG, GMRES, ...) to obtain  $u_0$ .

Estimate  $ds$ ,  $\dot{u}$ ,  $\dot{\lambda}$ .

**while**  $s < s_{max}$  **do**

$s \leftarrow s + ds$ .

Solve  $G(x, s) = 0$  with  $u_0$  as the initial iterate.

Examine  $F_u$  or  $G_x$  for folds and bifurcations.

$x_0 \leftarrow x$

Update  $ds$ ,  $\dot{u}$ ,  $\dot{\lambda}$ .

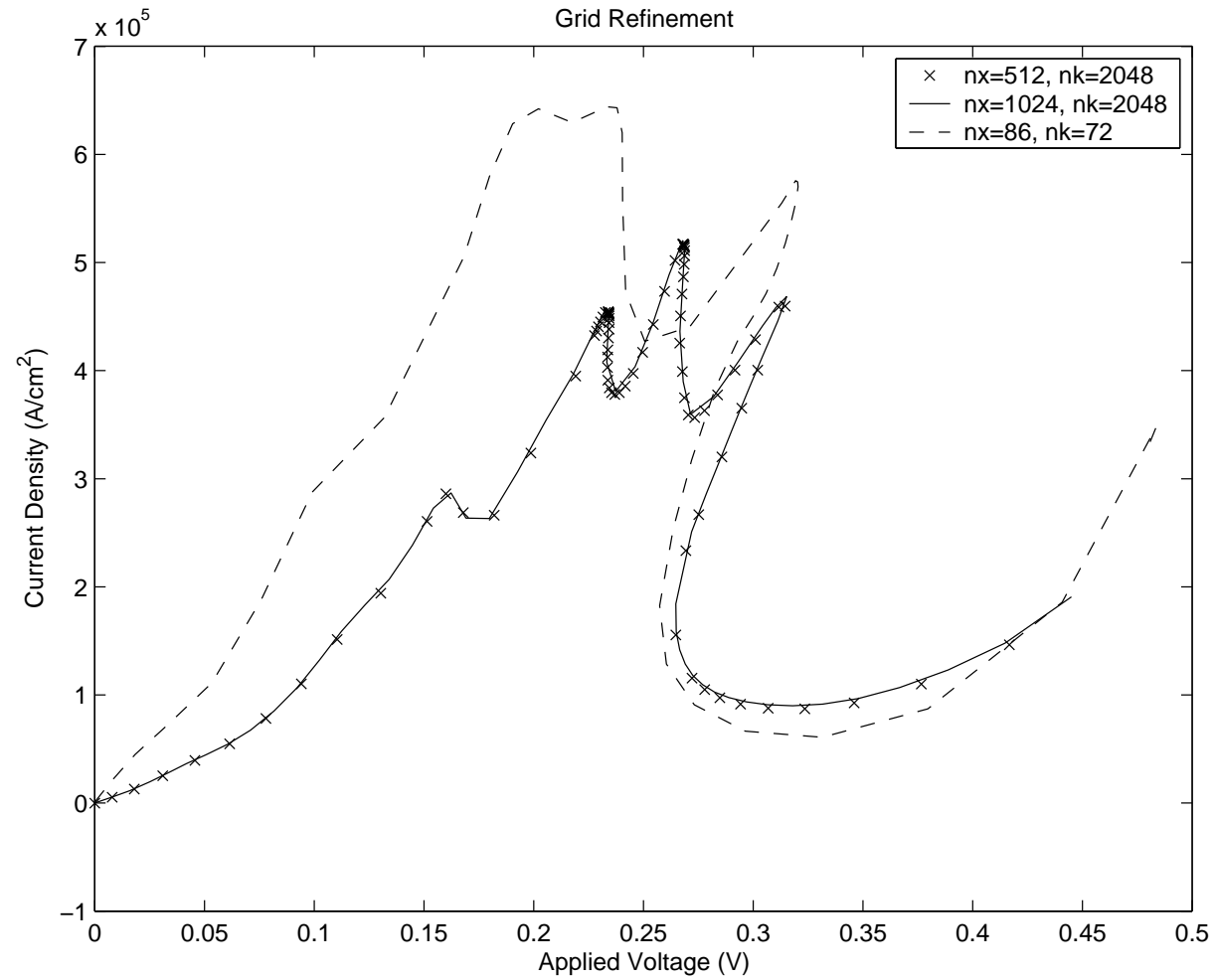
**end while**

# Path following for Wigner Poisson Eq

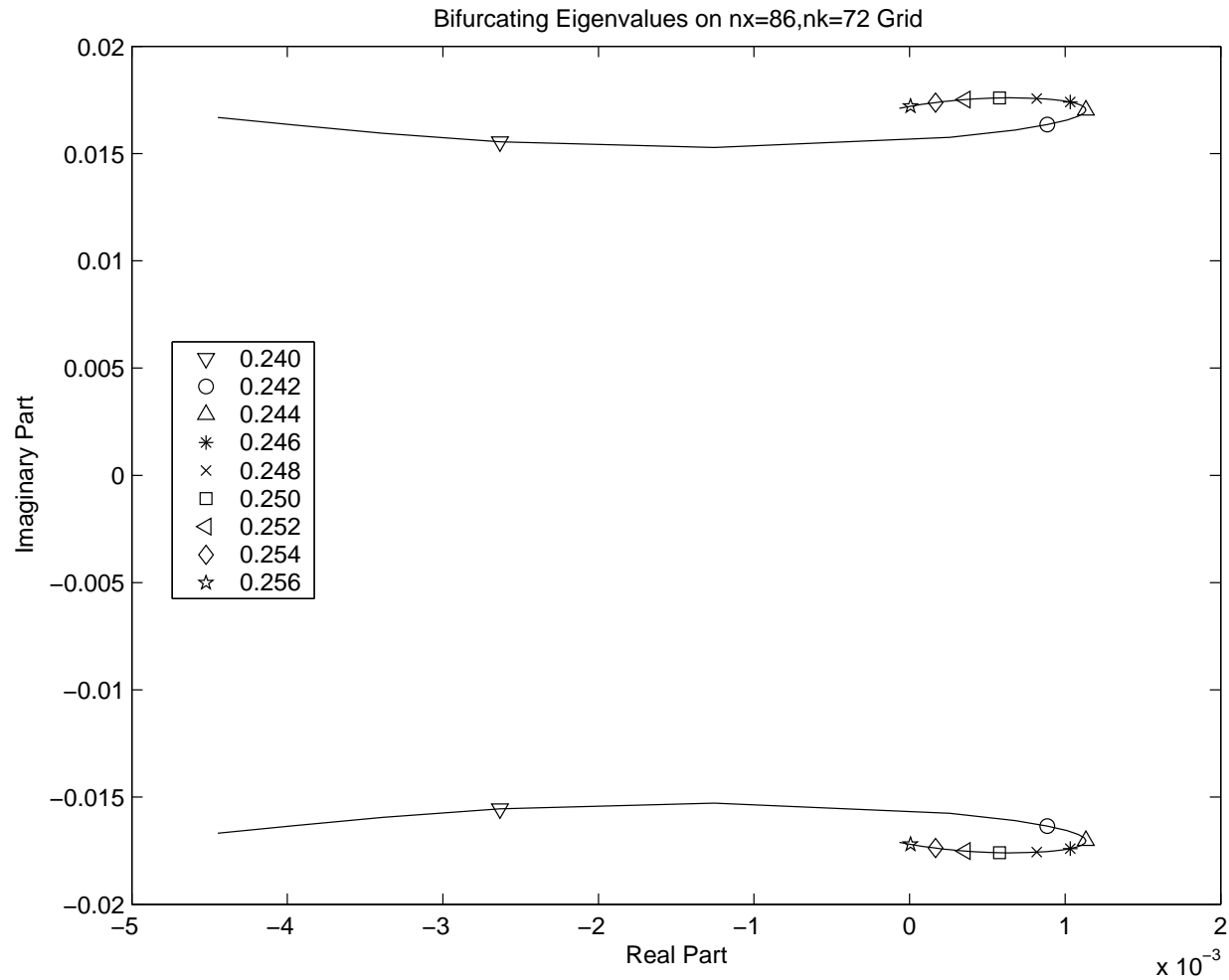
- Use LOCA (Salinger-Phipps)  
NOX, AztecOO, Anasazi, Epetra
- Precondition with inverse of spatial differential operator
- Uniformly bounded, not quite compact
- Folds, hysteresis, Hopf bifurcation
- Figure: Current density  $j(x)$  at  $x = L$  vs  $V_{bias}$

$$j(x) = \frac{\hbar}{2\pi m^*} \int k f(x, k) dk$$

# Latest LOCA results; new physics



# Hopf bifurcation on coarse grid



# LOCA

- Part of Trilinos - Sandia's parallel solver project
- Makes use of several other parts of Trilinos:
  - NOX : Nonlinear solver  
Preconditioned Newton-Krylov
  - AztecOO : Preconditioned Krylov linear solvers
  - Anasazi : Eigensolver
  - Epetra : Data Structure

# Parallelism

- Each processor has a block in space, all of momentum FFT convolution in  $k$ ; BLAS3 for convolution in  $x$ .
- Epetra data structures used in simulator + all solvers
- Computations on various LINUX clusters.



# Parallel Efficiency

Continuation with  $n_x = 688$ ,  $n_k = 576$

# Procs.	Linear Solve Time (s)	Speedup	Efficiency (%)
1	431.21	—	—
2	263.69	1.64	82.0
4	115.71	3.73	93.3
8	75.23	5.73	71.6
16	45.38	9.50	59.4

# Scalability

## Scalability of Parallel Simulator

$N_x$	$N_k$	# Procs.	Avg. F Eval Time (s)
172	144	1	0.1209
344	288	4	0.2814
688	576	16	0.5505

Tricky: not the same problem at all grids.

Bottom line: 40% scalar code.

# Conclusions

- Parametric study of Wigner-Poisson Equation
- Finding new physics
- Scalable Preconditioner  
Still too much scalar code
- Hysteresis understood
- Hopf understood on coarse grids  
working on eigensolver for fine grids